

Docket No.: 3350-102
Our File No.: 1158.41559X00
Client Ref.: GLTM



DAE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Biondi et al. : Group Art Unit: 2161
Serial No.: 09/893,596 :
Filed: June 29, 2001 : Examiner: Unassigned

For: SYSTEM AND METHOD FOR MULTIPLE ACCOUNT SINGLE SECURITY
TRADING

Honorable Assistant
Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is an Request for Reconsideration of
Petition Under 37 CFR 1.47(a) and Substitute Declaration and Power of
Attorney in the above-identified application.

[X] No additional fee is required.
[] Also attached:

fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	0	0	0	x \$18 =	\$0
Independent Claims			0	x \$84 =	\$0
Petition for Extension of Time for---- month					\$0
TOTAL FEE DUE					\$0

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Biondi et al.

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: Group Art Unit: 2161

Serial No.: 09/893,596

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Filed: June 29, 2001

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For: SYSTEM AND METHOD FOR MULTIPLE ACCOUNT SINGLE SECURITY TRADING

REQUEST FOR RECONSIDERATION OF
PETITION UNDER 37 CFR 1.47(a)

Honorable Assistant
Commissioner
for Patents
Washington, DC 20231

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Sir:

In response to the Decision dated May 6, 2002 to dismiss the Petition under 37 CFR 1.47(a), filed February 19, 2002, and in accordance with a telephonic interview with Frances Hicks, Lead Petitions Examiner, on July 3, 2002, submitted herewith is a copy of a Substitute Declaration and Power of Attorney as delivered for execution to each of the inventors who previously executed the original Declaration and Power of Attorney.

The original Declaration and Power of Attorney, executed by inventors Robert S. Coira, Joel D. Derstine, Christopher Panzica, Michael J. Kent, Theresa M. McNamara and Ann Kathleen Harding-Hesse and submitted to the United States Patent and Trademark Office (USPTO) along with the Petition under 37 CFR 1.47(a), included a blank space for inventor Matthew Biondi to indicate his citizenship. Mr. Biondi refused, multiple times, to complete and execute the original Declaration and Power of Attorney, as detailed in the

Petition under 37 CFR 1.47(a). As a result of Mr. Biondi's repeated refusal, the originally executed Declaration and Power of Attorney of the other inventors does not set forth Mr. Biondi's citizenship.

The Decision dismissing the Petition under 37 CFR 1.47(a) requires that a Declaration setting forth Mr. Biondi's citizenship be submitted to the United States Patent and Trademark Office in order for the Petition to be granted. Ms. Hicks, in the telephonic interview, indicated that a Substitute Declaration and Power of Attorney need only be executed by the previously executing inventors, not Mr. Biondi, as Mr. Biondi previously refused to execute the Declaration and Power of Attorney.

In view of the requirements of the Decision dismissing the Petition under 37 CFR 1.47(a) and the telephonic interview with Ms. Hicks, a Substitute Declaration and Power of Attorney, including Mr. Biondi's citizenship, has been prepared and is in the process of being executed by each of the previously executing inventors. A copy of this Substitute Declaration and Power of Attorney is included herein. The executed Substitute Declarations and Powers of Attorney will be submitted to the United States Patent and Trademark Office in a Supplemental Response promptly after receipt from the executing inventors.

It is requested that the dismissal of the Petition under 37 CFR 1.47(a) be reconsidered and withdrawn subject to re-submittal of the executed Substitute Declaration and Power of Attorney.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit

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PATENT

Account 01-2135(1158.41559X00) and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By:


Sterling W. Chandler
Registration No. 51,370

SWC:AAS:dmw

1300 N. 17th Street
Suite 1800
Arlington, VA 22209

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E-Mail: schandler@antonelli.com

Date: July 8, 2002

SUBSTITUTE
DECLARATION AND POWER OF ATTORNEY



As a below named inventor, We hereby declare that:

Our residence, post office and citizenship are as stated below next to our name.

We believe We are the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled "**SYSTEM AND METHOD FOR MULTIPLE ACCOUNT SINGLE SECURITY TRADING**", the specification of which

☐ is attached hereto. ☒ was filed on June 29, 2001 as Application Serial No. 09/893,596 and was amended on _____ (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Number **Country** **Day/Month/Year filed**

Priority Claimed

Yes **No**

We hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, We acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U. S. Application(s):

Serial No.

Filing Date

Status: Patented, Pending, Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorney(s) and/or agent(s): Alfred A. Stadnicki, Reg. No. 30,226; Larry N. Anagnos, Reg. No. 32,392; Donald Antonelli, Reg. No. 20,296; Frederick D. Bailey, Reg. No. 42,282; Robert M. Bauer, Reg. No. 34,487; Paul W. Bobowiec, Reg. No. 47,431; Carl I. Brundidge, Reg. No. 29,621; Hung H. Bui, Reg. No. 40,415; Sterling W. Chandler, Reg. No. 51,370; James N. Dresser, Reg. No. 22,973; Melvin Kraus, Reg. No. 22,466; Gregory E. Montone, Reg. No. 28,141; David C. Oren, Reg. No. 38,694; Alan E. Shiavelli, Reg. No. 32,087; Ronald J. Shore, Reg. No. 28,577; Paul J. Skwierawski, Reg. No. 32,173; William I. Solomon, Reg. No. 28,565; Donald E. Stout, Reg. No. 26,422; Ralph T. Webb, Reg. No. 33,047 and Harold A. Williamson, Reg. No. 20,212; all of:

ANTONELLI, TERRY, STOUT & KRAUS, LLP
Suite 1800
1300 North Seventeenth Street
Arlington, VA 22209

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to Alfred A. Stadnicki @ ANTONELLI, TERRY, STOUT & KRAUS, Suite 1800, 1300 North Seventeenth Street, Arlington, VA 22209

Full name of sole or first inventor: Matthew Biondi

Inventor's signature:

Date:

Residence: 243 8th Street, Apt. 4, Jersey City, NJ, USA

Citizenship: United States of America

Post Office Address: Same as Residence

Full name of second joint inventor: Robert S. Coira

Inventor's signature:

Date:

Residence: 105 Rivendell Road, Somerville, NJ, USA

Citizenship: United States of America

Post Office Address: Same as Residence

Full name of third joint inventor: Joel D. Derstine

Inventor's signature:

Date:

Residence: 1355 Dearborn, Apt. 404, Chicago, IL, USA

Citizenship: United States of America

Post Office Address: Same as Residence

Full name of fourth joint inventor: Christopher Panzica

Inventor's signature:

Date:

Residence: 553 Jersey Avenue, #3L, Jersey City, NJ, USA

Citizenship: United States of America

Post Office Address: Same as Residence

Full name of fifth joint inventor: Michael J. Kent

Inventor's signature:

Date:

Residence: 24 Kendal Avenue, Maplewood, NJ, USA

Citizenship: United States of America

Post Office Address: Same as Residence

Full name of sixth joint inventor: Theresa M. McNamara

Inventor's signature:

Date:

Residence: 14 Oakdale Road, Rockville, NY, USA

Citizenship: United States of America

Post Office Address: Same as Residence

Full name of seventh joint inventor: Ann Kathleen Harding-Hesse

Inventor's signature:

Date:

Residence: 55 W. 92nd Street, Apt. 2E, New York, NY, USA

Citizenship: United States of America

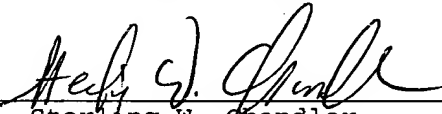
Post Office Address: Same as Residence

[] A check in the amount of \$_____ is attached

[X] Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment, to Deposit Account No. 01-2135 (1158.41559X00), including any filing fees under 37 CFR 1.16 for presentation of extra claims, any Extension of Time Fees under 37 CFR 1.136, and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By: 
Sterling W. Chandler
Registration No. 51,370

SWC:AAS:dmw

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Date: July 8, 2002

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